

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Schultz et al.

COPY OF PAPERS
ORIGINALLY FILED

Serial No.: 10/090,470

For: ILLUMINATION SYSTEM, PARTICULARLY FOR EUV LITHOGRAPHY

Filed: March 4, 2002

Examiner: Not Yet Assigned

Art Unit: 2882

Confirmation No.: 5139

Attorney Docket No.: 637.0005USXQ

Box MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney executed by Jörg Schultz and Johannes Wangler;
- ☐ The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.;
- ☐ _____ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to : CARL-ZEISS STIFTUNG TRADING AS CARL ZEISS, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ A Certified Copy of the Priority Application;
- ☐ Information Disclosure Statement (Form - 1449); and
- ☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.

— Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$740.00
Total Claims	29 - 20 =	9	x \$18.00	\$162.00
Independent Claims	4 - 3 =	1	x \$84.00	\$84.00
Multiple Dependent Claim Fee		x \$280.00 = \$0.00		
TOTAL FILING FEE		\$986.00		

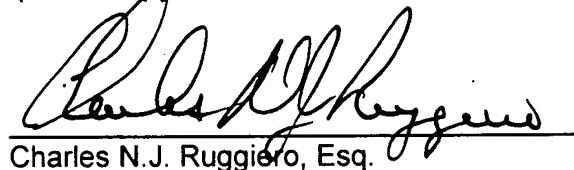
1/2 FILING FEE FOR SMALL ENTITY	\$
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X A check in the amount of \$ **1,156.00** to cover the filing fee (\$986); surcharge (\$130) and assignment recordal fee (\$40) is enclosed.

X The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

June 10, 2002

Date



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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON JUNE 10, 2002.

Joanne A. Romaniello
NAME.



SIGNATURE

6/10/02
DATE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/090,470	03/04/2002	Schultz	637.0005USXQ

CONFIRMATION NO. 5139

FORMALITIES LETTER



OC000000007839862

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COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 04/10/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/20/2002 SZEWDIE1 00000006 10090470

01 FC:101	740.00 DP
02 FC:102	84.00 DP
03 FC:103	162.00 DP
04 FC:105	130.00 DP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$246.
 - \$162 for 9 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1116.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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APR 15 2002

OHLANDT, GREELEY,
RUGGIERO & PERLE